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10/23/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. C01104/70087 (JT)

First Named Inventor or Application Identifier

Kevin J. Dowling, et al.

Express Mail Label No. EL 844531344 US

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## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

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| <p>1. <input type="checkbox"/> Fee Transmittal Form<br/>(Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p style="margin-left: 20px;"><input type="checkbox"/> Statement filed in prior application,<br/>Status still proper and desired.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total pages 97]<br/>59 - pages description<br/>1 - pages abstract<br/>37 - pages claims      322 - Total claims</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets - 16]<br/><input type="checkbox"/> Informal <input checked="" type="checkbox"/> Formal [Total drawings - 16]</p> <p>5. <input type="checkbox"/> Oath or Declaration [Total pages ]<br/>a. <input type="checkbox"/> Newly executed (original or copy)<br/>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 18 completed)<br/>[Note Box 6 below]<br/>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br/>Signed statement attached deleting<br/>inventor(s) named in the prior application,<br/>see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation by Reference<br/>(usable if Box 5b is checked)<br/>The entire disclosure of the prior application,<br/>from which a copy of the oath or declaration is<br/>supplied under Box 4b, is considered as being<br/>part of the disclosure of the accompanying<br/>application and is hereby incorporated by<br/>reference therein. See 19.</p> <p>7. <input type="checkbox"/> Application Data Sheet, See 37 CFR 1.76</p> | <p>ADDRESS TO:<br/><b>Box Patent Application</b><br/>Commissioner for Patents<br/>Washington, DC 20231</p> <p>8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large<br/>table or Computer Program (Appendix)</p> <p>9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence<br/>Submission (if applicable, all necessary)<br/>a. <input type="checkbox"/> Computer Readable Form (CRF)<br/>b. <input type="checkbox"/> Specification Sequence Listing on:<br/>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br/>ii. <input type="checkbox"/> paper (identical to computer copy)<br/>c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
|---|--|

## ACCOMPANYING APPLICATION PARTS

10.  Assignment Papers/cover sheet &  
documents(s)
11.  37 CFR 3.73(b) Statement  
(when there is an assignee)  
 Power of Attorney
12.  English Translation of Document (if applicable)
13.  Information Disclosure Statement PTO-1449  
 Copies of IDS Citations
14.  Preliminary Amendment
15.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
16.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
17.  Request and Certification Under 35 U.S.C.  
§122(b)(2)(B)(ii)
18.  Other:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application Nos. U.S. Patent Application No. 09/215,624 "Smart Lightbulb," U.S. Patent Application No. 09/213,607 "Sensor Feedback Illumination Methods and Systems," U.S. Patent Application No. 09/213,189 "Precision Illumination Methods and Systems," U.S. Patent Application No. 09/213,581 "Kinetic Illumination Methods and Systems," U.S. Patent Application No. 09/213,540 "Data Delivery Track," U.S. Patent Application No. 09/333,739 "Diffuse Illumination Methods and Systems," U.S. Patent Application No. 09/626,905 "Illumination Components," U.S. Patent Application No. 09/742,017 "Lighting Entertainment System," U.S. Patent Application No. 09/616,214 "Systems and Methods for Authoring Lighting Sequences," United States Patent Application No. 09/815,418, entitled "Lighting Entertainment System," United States Patent Application No. 09/805,368, entitled "Light Emitting Diode Based Products," United States Pending Patent Application No. 09/805,590, entitled "Light Emitting Diode Based Products," a United States Patent Application entitled "Systems and Methods for Color Changing Device and Enclosure," filed July 26, 2001, a United States Patent Application entitled "Ultraviolet Light Emitting Diode Systems and Methods," filed August 6, 2001, and United States Patent Application No. 09/886,958, entitled "Method and Apparatus for Controlling a Lighting System in Response to an Audio input.

**Prior application information:** *Examiner*

**Group Art Unit:**

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**20. CORRESPONDENCE ADDRESS**

Correspondence address below

**CUSTOMER NUMBER:**



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**21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME	Joseph Teja, Jr., Reg. No. 45,157
SIGNATURE	
DATE	10/23/01